Pyrosilviculture Needed for Landscape Resilience of Dry Western U.S. Forests

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### **Study Implications**

A management paradigm shift in fire use is needed to restore western forest landscape resilience. We propose a 'pyrosilviculture' approach with the goals of directly increasing prescribed fire and managed wildfire, and modifying thinning treatments to optimize more managed fire. Changes include leveraging low and moderate wildfire burn areas as treatments, identifying managed wildfire zones, and three thinning treatments designed to expand and finance prescribed fire to connect dispersed treatments. We also suggest that large-scale fire be used to reduce forest density, increase structural heterogeneity, and select for tree species and phenotypes adapted to changing climate and fire conditions.

#### Abstract

A significant increase in treatment pace and scale is needed to restore dry western US forest resilience to increasingly frequent and severe wildfire and drought. We propose a pyrosilviculture approach to directly increase large-scale fire use and to modify current thinning treatments to optimize future fire incorporation. Recommendations include leveraging wildfire's 'treatment' in areas burned at low and moderate severity with subsequent pyrosilviculture management, identifying managed wildfire zones, and facilitating and financing prescribed fire with 'anchor', 'ecosystem asset', and 'revenue' focused thinning treatments. Pyrosilviculture would also expand prescribed burns and managed wildfires objectives to include reducing stand density, increasing forest heterogeneity, and selecting for tree species and phenotypes better adapted to changing climate and disturbance regimes. The potential benefits and limitations of this approach are discussed. Fire is inevitable in dry, western U.S. forests and pyrosilviculture focuses on proactively shifting more of that fire into managed large-scale burns needed to restore ecosystem resilient.

Keywords: forest fuels, managed wildfire, prescribed fire, spotted owl, structural heterogeneity, treatment pace and scale

1 Over the last several decades, dry conifer forests in the western U.S. have experienced high 2 mortality from severe drought and wildfire. Past logging practices and on-going fire 3 suppression have significantly decreased average tree size while increasing fuel loads and 4 continuity, stand density, and canopy cover (Scholl and Taylor 2010, Collins et al. 2011, Knapp 5 et al. 2013), conditions which have made forests susceptible to these stresses (Restaino et al. 6 2019, Young et al. 2020a, Knapp et al. 2021). Many of these forests show signs of potential 7 ecological 'unraveling' with loss of sensitive species (Jones et al. 2016), type conversion (Coop 8 et al. 2020), and carbon loss that contributes to global warming (Hurteau et al. 2019, Goodwin et 9 al. 2020). Researchers and managers have widely documented these changes and identified 10 forest treatments that alleviate forest degradation and loss (Ritchie et al. 2007, Stephens et al. 11 2018, Prichard et al. in review). The pace and scale of these treatments, however, has never 12 matched the enormity of the problem. For example, analyses of what is annually treated by the 13 U.S. Forest Service compared to historical levels of fuel reduction from pre-European fire 14 regimes have documented an order of magnitude shortfall in treatment rates (North et al. 2012, 15 Valliant and Reinhardt 2017).

16 Contributing to treatment inertia is a sometimes-contentious political and press debate 17 about whether public land agencies can only effectively increase pace and scale by fully 18 committing to either extensive mechanical thinning or broad-scale application of managed fire 19 (i.e., prescribed burns and wildfires managed for resource benefit). On their own, however, each 20 of these approaches has inherent limitations. The scale of mechanical treatments is limited by 21 constraints including administrative and topographic thresholds where mechanical equipment 22 can be used (North et al. 2015a), cost (Hartsough et al. 2008), insufficient log and biomass 23 processing facilities (Stephens et al. 2016a), and the low market value of the majority of material 24 that needs to be removed to reduce potential fire and drought severity (Schwartz et al. 2020). 25 Many factors limit widespread prescribed fire use, which Miller et al. (2020) broadly classified 26 into three types of barriers: risk-related (fear of liability and negative public perceptions), 27 resource-related (limited funding, crew availability, and experience) and regulations-related 28 (poor weather and air quality conditions for burning and environmental regulations). For 29 managed wildfires, additional barriers include evolving national and local policies (e.g., 30 restrictive forest plans), constraints related to political boundaries (e.g., transmission of fire risk), 31 environmental changes (e.g., extended drought, widespread fuel continuity), and weather and

seasonality when a natural ignition occurs (Young et al. 2020b). With these constraints, forest
managers work to apply whatever treatment they can within the limits of available burn
windows, funding, personnel, and a host of forest management, air quality, liability, and
environmental regulations (Schultz and Moseley 2019).

36 This paper suggests the two dominant forest treatment tools, silvicultural thinning and 37 fire, can be better integrated to work at larger scales needed for landscape resilience<sup>1</sup> and reduce 38 forest loss to type conversion. We propose the adoption of "pyrosilviculture" as a management 39 paradigm; an approach where the two disciplines expand beyond the current use of each 40 individual tool to affect large-scale ecological restoration. In the western U.S., prescribed fire 41 has been used mostly for site preparation for replanting, fuels reduction, and for maintenance of 42 strategic fuelbreaks (Ryan et al. 2013). In western US forests, silviculture's use of mechanical 43 thinning is often to create fuel discontinuity (particularly for vertical flame transfer), increase 44 radial growth through density reduction, and shift species composition (Reinhardt et al. 2008). There is, however, a broader potential for coordinated use of mechanical thinning, prescribed 45 46 burning, and managed wildfire to effect forest resilience from larger scale treatments than are 47 presently used. Most of the 155 US Forest Service National Forests are developing or have 48 recently released new forest plans, and without increasing treatment pace and scale, many fire-49 dependent forests in the western U.S. face continued degradation and type conversion. 50 Pyrosilviculture's principle goal is to directly increase fire use in dry western conifer 51 forests by coordinating and consolidating prescribed burn, managed wildfire, and modified

52 mechanical treatments to reduce fuels and tree density at large scales. This paper broadens the 53 concept of pyrosilviculture from the stand (York et al. in pressA) to the landscape scale, and 54 expands the concept of fire use to include managed wildfire (Table 1). It also focuses much of 55 its discussion on federal forest lands, although the principles would apply to any large landowner or collaborative effort in multi-ownership landscapes. When used over large areas, fire is a blunt 56 57 tool for modifying forest conditions (Hartsough et al. 2008), and as such, its large-scale 58 application will require modified silviculture treatments and expanding how fire managers set 59 objectives and assess outcomes. Pyrosilviculture does not change the need to provide forest

<sup>&</sup>lt;sup>1</sup> In the context of this paper, forest resilience and resistance are defined as the ecosystems' allied capacities to regain and retain, respectively, their structure, composition and functions when impacted by stresses or disturbances (Holling 1973, Hessburg et al. 2019).

products and their economic returns, or ignore managing forests for a range of ecosystem
services including maintenance or enhancement of habitats for sensitive species.

62 A paradigm shift in using fire as a management tool in western US forests begins with 63 acknowledging that our current approach to building resilient forest ecosystems is insufficient 64 given observed rates of forest loss from recent fire and drought (Stevens et al. 2017, Young et al. 65 2017). The paper first outlines the need for a new approach and then examines current treatment 66 rates and wildfire patterns in the Sierra Nevada as an example, providing insight into how current 67 practices might be modified. It then discusses how pyrosilviculture could be operationalized by 68 using some wildfire areas as a 'treatment', identifying managed wildfire zones, and 69 implementing modified silvicultural treatments to help finance prescribed fire used to expand 70 and connect fuels reduced areas. In addition to fuels reduction, new measures for setting 71 objectives and evaluating large-scale fire use are suggested. Finally, the paper discusses the 72 potential wider benefits (i.e., wildlife and ecosystem services) of this approach, and current 73 limitations and opportunities in applying pyrosilviculture.

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### The Need for a New Approach

76 Many forests are susceptible to wildfire but in the drier portions of the western U.S., 77 several forest types (i.e., ponderosa pine, Jeffrey pine, mixed conifer, some hardwood/evergreen) 78 evolved with and benefit from frequent, predominantly low to moderate severity fire that reduces 79 forest floor fuels and preferentially thins smaller understory trees (North et al. 2016, van 80 Wagtendonk et al. 2018). Higher elevation, more mesic forests (i.e., whitebark pine, mountain 81 hemlock, subalpine) also occasionally burned, but in general experience more infrequent 82 (generally >80 years) higher-severity fire, often in large patches (Agee 1996). Modern forest 83 management that suppresses most fires has had less of an impact on these upper elevation 84 forests, but has substantially changed forest and fuel conditions at lower elevations where higher 85 productivity has rapidly led to increased tree densities and fuel loads (Mallek et al. 2013, Lydersen et al. 2014, Steel et al. 2015). When such lower elevation forests burn, fire is often 86 87 carried into the tree crowns, killing large, overstory canopy trees. While historical fires in these 88 forest types did occasionally 'crown out', the size of high-severity patches was generally small 89 (often <10 ac) (Collins et al. 2007), producing openings where bordering, green trees could 90 provide wind-dispersed seed for new cohorts of shade-intolerant species such as pines (Collins

and Stephens 2010). Our focus in this paper is on forests that historically had frequent, low to
moderate severity fire regimes, as these are most in need of fuels and density reduction
treatments to restore ecological processes and enhance their resilience to fire and drought events
(Allen et al. 2002, Arno and Fiedler 2005, Hessburg et al. 2015).

95 While more than 95% of wildfire ignitions in dry western U.S. conifer forests are 96 suppressed before they reach 10 ac in size (Calkin et al. 2005, North et al. 2015b), most such 97 forests eventually burn, often in large wildfires with significant overstory mortality. These 98 forests are primarily process-driven ecosystems (Falk et al. 2006), meaning that frequent (i.e., at 99 least every 10-35 years) low to moderate severity burns once maintained ecosystem functions 100 and integrity. Although beneficial, structural restoration with mechanical thinning does not fully 101 reestablish the underlying ecological functions (Stephens et al. 2020a), such as nutrient cycling, 102 soil respiration, decomposition, or large snag creation associated with habitat niches for a variety 103 of wildlife species (Meyer et al. 2007, Soung-Ryoul et al. 2009, Roberts et al. 2015, Tingley et 104 al. 2016, He et al. 2019, Steel et al. 2019). The resilience needed for dry, western U.S. forests to 105 adapt to changing disturbance and climate conditions requires a significant expansion of low to 106 moderate severity fire.

107 Almost all global change change models (GCCMs) suggest a significant increase in the 108 pace and scale of fuels treatments is needed to mitigate against changing wildfire conditions 109 (Westerling et al. 2011, Parks et al. 2016, Liang et al. 2018). There is a strong positive 110 relationship between temperature and wildfire area burned because higher temperatures increase 111 the length of fire season and decrease fuel moisture, increasing forest flammability (Westerling 112 2016, Abatzoglou and Williams 2016). In a study evaluating the influence of the pace of 113 treatment implementation on fire severity and carbon dynamics, Liang et al. (2018) found that 114 restoring fire to the frequent-fire forests of the Sierra Nevada over the first half of the 21st 115 century would decrease carbon losses and the area impacted by severe fire significantly more 116 than distributing the treatments across the 21<sup>st</sup> century. Accelerated treatment implementation, 117 which will require widespread use of managed fire, would have substantially greater benefits for 118 reducing intense, adverse wildfire.

Under current practices, many western U.S. forests have implemented fuel and density
reduction treatments, but their extent and maintenance is often so limited that encounters
between wildfire and effective treatments are infrequent (Barnett et al. 2016, Thompson et al.

122 2017). Despite being incorporated in large overall project areas (>5,000 ac), fuels treated areas 123 tend to be dispersed and fairly small in size (<100 ac) (Collins et al. 2010). Treated areas can 124 locally reduce severity (Koontz et al. 2020, Ritter et al. 2020), but may not reduce fire severity 125 much beyond the treatment unit because they are imbedded in a high-density, fuel-loaded 126 landscape matrix (Stevens et al. 2016). The need for larger, consolidated treatments in designed 127 projects may be masked by current operational fire spread models that considerably underpredict 128 the growth and behavior of recent large fire events (e.g., Chiono et al. 2017). Taken together 129 these realities may, in part, explain our current inability to alter the increasing trends in wildfire 130 activity.

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132 Historical Fire, Current Wildfire, and Treatment Acreage in the Sierra Nevada 133 To investigate these treatment patterns using publicly available data, we quantified the acreage of 134 historical fire, current (2011-2020) wildfire, and US Forest Service treatment rates for the nine 135 National Forests (Modoc, Lassen, Plumas, Tahoe, Eldorado, Stanislaus, Sierra, Sequoia and 136 Inyo) and the Lake Tahoe Basin Management Unit (LTBMU) that encompass California's Sierra 137 Nevada Range. First, we used CalFire's Fveg (CALFIRE FRAP 2015) to tally and map the 138 distribution of dominant forest types across the study area (Figure 1). Then, to establish a 139 baseline comparison, we used previously published methods (Stephens et al. 2007, North et al. 140 2012) to estimate the Sierra Nevada acreage on US Forest Service lands that would have been 141 burned each year during the historical fire regime active before the arrival of Europeans. We 142 separate the forest types into two groups, one which historically had a frequent low to moderate 143 severity fire regime that requires active management (i.e., periodic fuels reduction) and one 144 which historically had an infrequent, high-severity fire regime that is typically passively 145 managed (North et al. 2012). We estimated that across the Forest Service's 13 M acres in the 146 Sierra Nevada, fires historically reduced fuels at an average rate of 631,000 ac/yr ( $\approx$  5%) in the 147 twelve largest forest types (Table 2), with 622,000 ac/yr burning in the nine frequent fire forest 148 types. 149 We then examined the recent (2011-2020) area burned by wildfire on Sierra Nevada F.S.

150 land by year and severity level (when available) using the Monitoring Trends in Burn Severity

151 (MTBS) (2012-2018), CalFire's Fire and Resource Assessment Program (FRAP) dataset (2011,

152 2019), and the National Interagency Fire Center data (NICF 2020). We also calculated the size

153 and locations of F.S. treatment areas (this included wildfires managed for resource benefit), 154 using the Forest Activity Tracking System (FACTS) database and which of these treatments 155 were intersected (burned through and just abutted) by wildfire (Table 3). On average, 227,245 ac 156 of forest were within wildfire perimeters each year and 36.4% burned at low, 25.9% burned at 157 moderate, and 20.9% burned at high severity (Table 3). We found that a total of 202,440 acres of treatments were burned by wildfire between 2011 and 2020, or an average of 20,244 acres per 158 159 year. This is likely an underestimate because we only included treatments from 2007 onward (to 160 reflect when fuels program accomplishment reporting was performed through FACTS) that were 161 completed and subsequently burned by wildfire. Depending on forest type and productivity, treatment efficacy for reducing fire severity is about 10-15 years (Agee and Skinner 2005, 162 163 Stephens et al. 2012, Martinson and Omi 2013), meaning early years (2011-2016) in our tally 164 would miss potentially effective treatments completed from 1996 to 2006. Focusing on more 165 recent years that reduce this data limitation, we found that between 2017-2020, wildfire burned a total of 1,432,989 ac, of which 152,842 acres had been treated or about 10.7% of the total 166 167 wildfire acreage (Table 3).

168 Over the 2011-2020 period, an average of 63,357 ac/yr of non-overlapping, distinct treatments, including mechanical, prescribed burn, and managed wildfire<sup>2</sup> (each determined by 169 170 coding in the FACTS database), and combinations thereof, were implemented (Table 4). The 171 total footprint of these treatments, a measure of treatment progress across the landscape, 172 averaged 10% of the historical fuels reduction rate in forest types with low to moderate severity 173 fire regimes (Table 4). When accounting for all treatment acres, including overlapping 174 treatments, the total area treated averaged 92,726 ac/yr or 15% of historical rates in frequent-fire 175 forests (Table 4). The mean treatment size for managed wildfire (2,877 ac) was approximately 176 75 times larger than the mean mechanical (36 ac) and prescribed fire (40 ac) treatment sizes 177 (Table 4). Furthermore, individual treatment units (mechanical and prescribed fire) were 178 separated by an average of 0.88 miles, which taken with the relatively small unit sizes, indicates

 $<sup>^2</sup>$  There are some inconsistencies in how wildfires were designated as 'managed', including wildfires the authors knew were initially treated as suppression events, but which included days and areas where the fire was left to burn for 'resource benefit'. In the end, we used the FACTS domain designations 1116 (Wildland Fire Use used through 2009) and 1117 (Wildfire-Natural Ignition used 2010 on), but within these two designations included only portions (acreage and polygons) that were identified with a keypoint designation of '6' ("meets planned objectives for fuels treatments") and did not include the portions of wildland fires with a keypoint of '0' ("no hazardous fuel benefit" or "do not meet objectives").

a much more dispersed pattern than that for an individual managed wildfire. This analysis forms
the basis for three pyrosilvicultural approaches that could be effective at increasing treatment
acreage: 1) leveraging a wildfire's low and moderate severity burn areas as initial 'treatments';
identifying managed wildfire zones; and 3) thinning treatments designed to facilitate and be
connected by prescribed fire or managed wildfire.

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# Leveraging wildfire 'treatments'

186 Currently wildfire has a much larger average annual impact (227,245 ac) on Sierra 187 Nevada FS lands than the combined total of mechanical, prescribed burn and managed wildfire 188 treatments (63,357-92,726 ac). Given this pattern, adding a new focus to how post-burn areas 189 are managed could help facilitate pyrosilviculture's objective of preparing the landscape for 190 more fire. In forest types that historically had frequent fire regimes, wildfire areas that burned at 191 low to moderate severity are helping restore a key ecological process that can increase forest 192 resilience. At present, most post-wildfire management is concentrated on areas that burned at 193 high severity (>75% mortality of overstory trees) (Meyer et al. 2021), which in our analysis 194 made up 21% of the area within wildfire perimeters. Much of the fire footprint, however, 195 includes areas of low to moderate severity effects (62% in our analysis area) where wildfire has 196 reduced live tree density and surface fuels. Managers could leverage the wildfire's low to 197 moderate severity burned areas as an initial "treatment" upon which subsequent thinning and 198 prescribed fire applications increase resilience. For example, shortly after the wildfire, thinning 199 could be used to 'harden' low to moderate severity burn areas against crown fire by removing 200 any remaining problematic ladder fuels (Collins et al. 2018). It could also be used to create the 201 spatial pattern characteristic of frequent-fire forests, individual trees, clumps of trees and 202 openings (ICO), that helps reduce fire intensity (Larson and Chruchill 2012). Later, prescribed 203 fire could be applied to reduce larger surface fuels such as snags that often fall to the ground 7-204 20 years after the wildfire (Ritchie et al. 2013, Ritchie and Knapp 2014). With lower canopy 205 densities post wildfire that facilitate faster fuel drying, prescribed fires could carry under a 206 broader range of weather conditions (York et al. In PressB) while minimizing overstory tree 207 mortality and reducing surface fuels. Generally, these burns would have low fuel loads reducing 208 smoke output, lessening escape risk, and under dry conditions, could reduce recalcitrant fuels 209 such as dense fir litter (Knapp and Keeley 2006, Parks et al. 2013). Both treatment types can be

iteratively applied to fine tune low to moderate severity burn areas for future fire. This approachcould be particularly effective when incorporated into a landscape-scale postfire management

strategy (Meyer et al. 2021). In our Sierra Nevada analysis, treating and including low and

213 moderate severity burn areas, on average, could have added up to 141,000 ac/yr to treatment

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# Identifying Managed Wildfire Zones

rates, increasing current levels by 252-323%.

217 At present managers often have clearly quantifiable objectives for prescribed burning and 218 thinning at the stand level but may lack coordinated strategies for scaling up stand-level 219 treatments to retain ecosystem services while effecting landscape level resilience. To implement 220 pyrosilviculture at larger spatial scales, an initial step would be to identify areas where 221 mechanical fuel reduction is most practical (i.e., the wildland urban interface [WUI] and areas 222 with existing roads), and which areas, due to mechanical constraints or remote location, will 223 require treatment with some type of managed fire (North et al. 2015a). This type of planning 224 analysis is widely used in western National Forests to help set two treatment bounds within a 225 landscape and identify the intermediate zone where a combination of thinning and prescribed fire 226 can be coordinated using pyrosilviculture approaches described below (Thompson et al. 2011, 227 2016, O'Connor et al. 2016). Identified nonmechanical areas can be considered as potential 228 zones for treating natural ignitions as managed wildfires for resource benefit.

229 In the southern Sierra Nevada, three national forests recently revised their forest plans 230 and have developed strategic fire management zones that greatly expand opportunities to manage 231 wildfires for resource objectives (Figure 2). The Inyo, Sequoia, and Sierra N.F.'s are amongst 232 the eight 'early adopter' National Forests to develop fifteen-year plans in response to the new 233 forest planning rule (USDA-FS 2012). Each of these N.F.'s has identified strategic fire 234 management zones by proactively assessing the benefits and risks of wildfires within a landscape 235 of interest. An initial step in this process was applying a wildfire risk assessment of anticipated 236 fire effects on high-valued resources and assets (e.g., WUI, ecosystems, habitats) (Thompson et 237 al. 2016). With higher risk areas identified, a second step was to identify more remote and lower 238 risk areas where mechanical fuels reduction was often constrained, requiring some form of 239 managed fire to reduce fuels and improve forest resilience (Figure 2a). With areas defined that 240 effectively prioritize mechanical and managed fire treatments, each N.F. delineated four fire

management zones. Two of these zones, wildfire restoration and maintenance, use unplanned
ignitions to restore and maintain ecosystem resilience, whereas in the two other zones community and general wildfire protection, the focus is on the protection of life, property, and
other resources (Figure 2b).

245 Nearly three quarters (74%; range: 66-84%) of the Inyo, Sierra, and Sequoia National 246 Forests are currently mapped in the wildfire restoration and maintenance zones, and the 247 remaining 26% are located within wildfire protection zones. The wildfire maintenance zone, 248 which is the least constrained and most supportive of managing wildfires for resource objectives 249 under the broadest range of environmental (e.g., weather, fuels) conditions, represents nearly half 250 (48%; range: 39-58%) of the total area on these national forests. Across all fire management 251 zones, approximately 65% of the treated area on the Inyo, Sierra, and Sequoia National Forests 252 could be accomplished by wildfires managed for resource objectives over the next 15 to 20 253 years. This could effectively double the area currently treated by managed wildfire in the 254 southern Sierra Nevada N.F.s and more than triple the overall restoration treatment rate (USDA-255 FS 2021). Although there are several barriers that could limit these anticipated rates of managed 256 wildfires for forest restoration (see introduction section), this approach will help diminish the 257 restoration treatment "backlog" on national forestlands especially in areas inaccessible to 258 mechanical treatment (North et al. 2015a) and located in more remote landscapes (Meyer 2015). 259 Fire severity patterns in these managed wildfires are likely to fall within the natural range of 260 variation and improve forest ecosystem integrity and diversity, even for large (>5000 ac) 261 overlapping wildfires burning in topographically complex forest landscapes (Meyer 2015, Meyer 262 et al. 2019, Huffman et al. 2020). While managers will certainly face constraints and agency 263 reservation (North et al. 2015b), these designations at least provide support for allowing wider 264 use of managed wildfire when conditions allow.

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### Silvicultural Treatments to Expand Prescribed Fire

There are a range of mechanical thinning treatments designed to affect fire and some of these are broadly classified as strategically placed area treatments (SPLATs), designed to slow fire spread rate and reduce intensity across a landscape, and defensible fuel profile zones (DFPZs) intended to act as holding points for fire containment and suppression (Finney 2001). While all acres can't be treated to meet the same objective, greater diversity in treatment types can help meet
landscape treatment goals. In particular, for fire to have a more dynamic role in landscapes,
treatments are needed that serve as planned ignition points, that serve to expand burn coverage
for ecological benefit while retaining key ecosystem attributes, and that serve to provide
economic support. The strategic objective of these treatments is to facilitate rather than suppress
fire, using it as an integrating process between treatment units to connect and give inertial mass
to fuels reduction and restoration efforts across the landscape (Figure 3).

278 To meet these pyrosilviculture objectives, three types of thinning treatments are needed; 279 anchors, ecosystem assets, and revenue. The concept of anchors as fire control features in a 280 landscape has been proposed (O'Connor and Calkin 2019) and the paper builds on that concept 281 by suggesting they can also be strategically located areas from which fire can be expanded into the adjacent landscape. Anchor locations might be identified using an organizational or "box" 282 283 tactic commonly used in the Wildfire Decision Support System (i.e., WFDSS). The box usually 284 is defined as generously large enough to contain different fire responses and its size is often 285 determined by fire growth models, topography, resource assets, and strategic infrastructure that 286 provide landscape level containment locations (i.e., roads, and past forest and fuels management 287 treatments). Anchors would help define the fire-use perimeter, acting as both ignition and control 288 points for connecting and moderating landscape-level prescribed fire treatment. Before applying 289 prescribed fire, fuels are heavily reduced on the anchor edge adjacent to a road or WUI to 290 provide a hard backstop, and more lightly reduced toward the 'box' interior ensuring low to 291 moderate severity fire spreads into the adjacent forest (Figure 4a). This approach has worked 292 well in western Australia where anchor networks have allowed fire managers to burn about 293 385,000 ac (7%) of a 5.5 million ac landscape each year (Sneeuwjagt et al. 2013). The heavier 294 fuel reduction, particularly in the backstop, can generate revenue to help support prescribed 295 burns and lighter thinnings used in other locations.

Ecosystem assets are areas where fuel and density reductions are needed but important ecosystem services (i.e., spotted owl [*Strix occidentalis*] nests, large carbon stores, riparian corridors) warrant more precise control over fire effects (van de Water and North 2010, 2011, North and Hurteau 2011) (Figure 4b). While fire exclusion has generally been the rule in these areas, retaining and restoring ecosystem assets in dry, frequent-fire forest types requires careful fire reintroduction. Ecosystem assets would be mechanically pre-treated to reduce fuels and moderate burn intensity when fire is re-introduced. In many cases, large overstory trees
contribute to the ecosystem asset, so traditional ladder fuel reduction might remain a priority. In
ecosystem asset areas, an additional pyrosilvicultural goal would be a focus on horizontal fuel
continuity, particularly of pine litter which helps with fire spread especially in wetter conditions
(Mitchell et al. 2009, Levine et al. 2020, York et al. in pressB) facilitating more extensive burn
coverage for ecosystem benefit and restoration.

308 Finally, the potential to generate revenue from forest products would also be a 309 consideration in locating and designing silvicultural treatments. Commitment to generating 310 revenue from sawlogs and biomass might provide enough certainty to increase harvesting and 311 wood processing infrastructure in some areas of the western U.S., which currently is a significant 312 constraint on increasing treatments (Keegan et al. 2006). Concern that fire will negatively affect 313 the timber base, and lack of funding have consistently limited the use of prescribed fire (Schultz 314 et al. 2019a). The wider use of both prescribed burning and managed wildfire require a 315 supporting revenue stream, particularly since large-scale applications may require incident 316 management team logistics and resources (i.e., aerial resources, a host of hand crews, engines 317 and heavy equipment, and multi-day resource dedication). Infilling from fire suppression has 318 widely increased stand density and ladder fuels (Innes et al. 2006), but in productive locations 319 (i.e., with greater soil moisture), it has also produced larger, commercially sized trees of the more 320 fire-intolerant species (North et al. 2016, Fricker et al. 2019, Knapp et al. 2020). Removal of 321 some of the larger fir and cedar can help restore stands to historical densities (Lydersen and 322 North 2012, Collins et al. 2015, Knapp et al. 2017), increase water availability and drought 323 resilience for retained trees (Smith et al. 2005), and their revenue could be directed to support 324 local application of prescribed fire and managed wildfire (Figure 4c).

325 These three thinning strategies focus on how post-treatment fuel conditions affect fire 326 behavior, and how that in turn can affect forest vegetation. This approach may seem roundabout 327 compared to how most thinning directly creates specific stand structures. In process-driven 328 ecosystems, however, fuel manipulation influences combustion and fire is what's driving 329 changes in forest conditions, ecosystem processes, and effecting landscape resilience. Recent 330 research suggests fire-dependent forests may not have a set seral development pattern and stand 331 structures can vary widely, depending largely on fire history rather than tree age (Berkey et al. 332 2021). This structural variability helps create the heterogeneity associated with greater fire

resilience (Koontz et al. 2020). The difference is perhaps best summarized in noted research in
the Southeastern U.S. where prescribed fire is extensively used: "Fuels are the bridge between
the combustion environment and vegetation response" (Hiers et al. 2007, Mitchell et al. 2009).

### 337 **Pyrosilviculture Lessons from the Southern US**

"Heretofore, the thinking has been largely that of fitting fire into forest-land management, but those experienced in fire use are beginning to see that certain forestry practices might be altered to fit into prescribed burning, thus making better use of this tool than is possible under present management." -H. Biswell, reflecting on differences between forest management in Georgia and California (1958)

338 Each year, the southern US (hereafter 'the South') accomplishes more prescribed fire treatment 339 acres (e.g. over 7M ac in 2018; [Melvin 2018]) than anywhere else on the planet- an area that 340 approaches or exceeds the total acreage burned in all US wildfires annually. This is achieved 341 while also harvesting more lumber from both private and public lands than either the west or 342 northern regions in the contiguous U.S. (Oswalt et al. 2019). In the South, pyrosilviculture has 343 been embraced historically, culturally, and politically for multiple decades, even if the term is 344 not yet widely used. As is now the case in the West, the scale of fire treatments didn't always 345 meet the need, and enacting new perspectives for the role managed fire could play was an 346 iterative and deliberate silviculture-based process. In states like Florida with extensive forest 347 coverage, wildland-urban-interface, and year-long natural and anthropogenic ignitions, proactive 348 solutions were driven by necessity. Although there are multiple ways the South and the West 349 differ that affect ease of access for equipment and scales of contiguous wildlands, fire managers 350 in southern states have for decades responded to significant wildfire risk across diverse 351 landscapes by employing fuel treatments that encompass the objectives of anchors, ecosystem 352 assets, and revenues. In long-unburned longleaf pine (*Pinus palustris*) forests where species 353 selection and density reduction are key to providing habitat for sensitive wildlife species 354 (Stephens et al. 2019), thinning ladder fuels (hardwoods) is often a first-entry approach along 355 forest unit borders, which serve as initial anchors (Jose et al. 2006). This is followed by iterations 356 of prescribed burning that slowly reduce surface and ground fuels buildup under successively 357 drier conditions: widening the prescription window with each fire iteration and making the next

burn (either prescribed or managed wildfire) easier to plan, less resource-intensive to execute,and creating larger and larger anchors.

360 Longleaf pine uplands and sandhills occur within the context of a landscape of forest 361 types, each with their unique wildfire hazard. For example, at the landscape scale, central 362 Florida's longleaf pine-dominated uplands are interspersed with more mesic (and productive) 363 slash pine flatwoods, and even drier sand pine scrub forests- an ecosystem that harbors many 364 threatened species and is dependent on stand-replacing fire (Freeman and Kobziar 2011). The 365 analogy to western forests provides a compelling example of how anchors (longleaf pine stands), 366 revenues (slash pine flatwoods), and ecosystem assets (sand pine scrub) can each be achieved by 367 using specific mechanical and prescribed fire techniques within the same landscape. This 368 approach results in a heterogenous landscape where wildfires that occur in any of the treated 369 forests can be managed using the proximity and fuel structure of the other forest types, and 370 where extensive ecotones allow for the inherent imprecision of some fire.

371 Policy providing protection against liability for managers who make the hard choices to 372 employ fuel treatments across ecosystems and throughout a management landscape has also been 373 critical in expanding options for what was possible in southern fire management. For example, 374 when legal precedents raised significant liability concerns for forest managers and reduced 375 prescribed fire use, stakeholders worked with the public and the legislature to codify the need for 376 prescribed fire in the Florida Prescribed Fire Act of 1990 (now State Statute 590.125(3)). The 377 Act was reiterated in 2000 to further enhance liability protection and sign into law the economic, 378 ecological, and social benefits of fire. Backed by this landmark policy, Fire Management 379 Officers on each of Florida's three N.F.'s now set and achieve annual quotas for prescribed 380 burned acres that rival the total number of acres treated in the Western US.

381 The fuel ecology of many southern forests also drives the support for proactive 382 pyrosilviculture approaches that benefit ecosystems, economies, and the public. The speed of 383 fuel and hazard recovery after pyrosilviculture treatments in the South is such that post-treatment 384 becomes pre-treatment within only a few years (Figure 5). If forests had been fire-suppressed for 385 a century in the South as they have been in the West, many of the world's most biologically 386 diverse ecosystems would no longer exist. The pace of change associated with the process of fire 387 in southern forests is a powerful imperative: the effects of fire suppression are easily witnessed 388 within a human lifetime. Although it took nearly 75 years for the results of fire suppression in the

389 West to become widely recognized, the incentive to broaden perspectives for how forested

390 landscapes can be treated is underscored by regions like the South where pyrosilviculture has

- 391 succeeded in mitigating many wildfire challenges.
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## **Objectives for Assessing Expanded Fire Use**

394 In the western U.S., prescribed fire has most often been used to moderate future fire 395 severity by reducing surface and ladder fuel loads, disposing of logging slash, and for preparing 396 sites prior to planting. To expand the use of prescribed fire and managed wildfire, burn 397 objectives and successful implementation are best not measured against the precision that 398 silvicultural treatment could have produced. Fire is only partly manageable and its effects on 399 vegetation are influenced by many factors, some of which managers have little control over. In 400 spite of this, Fire Management Officers in the Sierra Nevada often work with targets of no more 401 than 5-10% overstory tree mortality, while variable weather conditions and limited crews make 402 such precision difficult or result in restrictive burn windows that narrow the probability of 403 implementation. Fire effects on forest conditions at any particular location may not meet such 404 strict targets, especially on larger fires. However, as several western National Parks have shown, 405 in aggregate, managed fire can increase structural diversity and promote forest resilience at large 406 scales (Boisramé et al. 2017). Scaling up pyrosilviculture on National Forest lands will, in part, 407 hinge on relaxing stand-level structural targets and focusing on broader landscape objectives. 408 For example, after the 2018 Lions managed wildfire on the Inyo and Sierra National Forests 409 produced moderately large (200-450 acre) high-severity patches, some managers and public 410 stakeholders questioned its 'resource benefits'. Yet, overall the fire extensively reduced fuels, 411 produced fire effects that were largely within the natural range of variability, and two years later 412 helped check the 380,000 ac Creek Fire from reaching the town of Mammoth Lakes. 413 Three additional managed fire objectives: density reduction, enhancing spatial 414 heterogeneity, and species and phenotypic selection (Figure 6) will further improve landscape 415 resilience. Reducing forest density will decrease water competition, thereby increasing resistance 416 to drought stress and bark beetles (Maloney et al. 2008, Boisramé et al. 2017, Fettig et al. 2019, 417 Koontz et al. 2021, Steele et al. 2021). Managed fire is not as surgical as mechanical thinning 418 and in some locations may kill large, overstory trees that managers would most like to retain 419 (Figure 6a). However, the opportunities for more targeted density reduction, such as biomass

removal and service contracts for cutting and piling small trees, are scale limited by shrinking
infrastructure and budgets. In many areas, large-scale density reduction can be accomplished for
much lower costs and more extensively with managed fire, albeit with less precision than
mechanical thinning (Hartsough et al. 2008).

424 Creating spatial heterogeneity in forest conditions is another pyrosilviculture objective 425 that capitalizes on the less precise shaping of forests by fire (Figure 6b). Spatial heterogeneity 426 can provide a self-reinforcing pattern that makes forests more resilient to future wildfires 427 (Jeronimo et al. 2019, Kane et al. 2019) and drought (Knapp et al. 2021, Murphy et al. 2021). 428 This pattern (Figure 6b) of individual trees, clumps of trees, and openings (ICO) (Larson and 429 Churchill 2012) also has ecological benefits. Heterogeneous, complex forests are characterized 430 by highly variable microclimates (Ma et al. 2010; Norris et al. 2012), with different temperature 431 and moisture niches leading to high understory plant diversity (Wayman and North, 2007; 432 Stevens et al., 2015). This microclimate diversity may be key for facilitating species persistence 433 under climate change (De Frenne et al., 2013). Variable spatial structure is often produced in 434 burns with a range of intensities or pyrodiversity (He et al. 2019). The size and frequency of 435 different severity patches, however, should be aligned, where possible, with conditions under 436 historical frequent-fire regimes (Safford et al. 2012). High-severity patches can create gaps 437 needed to foster shade-intolerant regeneration (Bigelow et al. 2011, Bigelow and North 2012), 438 but in frequent-fire forests, the size of these gaps should ideally be consistent with fire patterns 439 that in the past facilitated forest regeneration (i.e., most <8 ac [Collins and Stephens 2010, 440 Lydersen et al. 2013, Fry et al. 2014]). Big gaps created by many modern wildfires are much 441 larger than the seed dispersal capabilities of most conifers (Collins et al. 2017, Stevens et al. 442 2017), and can promote type conversion for several decades or longer (Coppoletta et al. 2016, 443 Coop et al. 2020).

Repeated use of managed fire can help select for phenotypic traits that enhance fire
resistance and shift species composition so it is more congruent with topographic conditions (i.e.,
steepness, aspect, soil moisture, etc.) that influence local fire intensity (North et al. 2009, Kane et
al. 2015a, 2015b). With repeated burns, fire-tolerant species such as pines should, on average,
have higher survival than other less fire-tolerant species on steep, warm aspect slopes where fire
burns more frequently and intensely (Ng et al. 2020). Fire-sensitive species such as fir and cedar
would be expected to persist in areas with more mesic conditions that have a reduced burn

451 probability or burn at lower severities (Beaty and Taylor 2007) (Figure 6c). Within a species, 452 there are substantial differences amongst individual trees in bark thickness, branch abscission 453 timing, cambium heat tolerance, and foliage flammability (Pausas 2015, Stevens et al. 2020). 454 Currently these traits are not being evaluated in planting stock, and developing saplings are not 455 exposed to early fire to help select for more fire-resistant phenotypes (North et al. 2019). Regular 456 burning would select for individuals with phenotypic characteristics that are more fire resistant, 457 which should help reduce forest loss to type conversion as climate and disturbance regimes 458 continue to change.

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### Pyrosilviculture Benefits

461 In forests that historically burned frequently, one of the most difficult challenges in multiple-use 462 management is to balance the need for fuel reduction treatments with the provision of wildlife 463 habitat, particularly for some sensitive species associated with denser forest conditions. In 464 western U.S. forests, the spotted owl has been the most impactful of these species (Stephens et 465 al. 2014). Spotted owl populations benefit from greater landscape availability of forests 466 containing large trees and a closed overstory canopy (North et al. 2017; Jones et al. 2018), and 467 often select these features when foraging for prey (Blakey et al. 2019). However, owl 468 populations are declining across several Sierra Nevada National Forests characterized by dense 469 homogenized forest structure resulting from fire suppression (Jones et al. 2018) – landscapes that 470 have a high risk of owl habitat loss through type conversion (Figure 7b) (Jones et al. 2016, 471 Stephens et al. 2016b, Wood and Jones 2019). Innovative approaches for promoting wildlife 472 habitat through the restoration of natural processes, and local- and landscape-scale structural 473 variability are needed (Stephens et al. 2020b).

474 Recent research suggests that provision, maintenance, and recruitment of wildlife habitat 475 - and spotted owl habitat specifically – may align with the expansion of pyrosilvicultural 476 practices. In Sierra Nevada National Parks where prescribed and managed fire use have been 477 common practice for decades, spotted owl populations are stable (Jones et al. 2018). In those 478 landscapes, owls showed strong preference for extensive areas that have experienced low-479 severity fire within the previous 15 years (Kramer et al. 2021), suggesting a conservation benefit 480 of frequent, low-severity fire restoration across broader landscapes. In both National Forests and 481 National Parks, owls have continued to occupy and reproduce in landscapes that have

482 experienced predominately low- to moderate-severity fire (Roberts et al. 2011, Jones et al. 2016, 483 Schofield et al. 2020). Owls do use severely-burned forests for foraging activities but usually 484 only when patches are smaller than the historical maximum patch size for dry frequent-fire 485 forests (e.g., 10-100 ha; Safford and Stevens 2017) (Figure 7), suggesting spotted owls are well-486 adapted to pyrodiverse conditions at appropriate scales (Jones et al. 2020). Pyrosilviculture has 487 the potential to promote owl habitat in the short-term by expanding the footprint of low-severity 488 fire that is preferred by owls, and over the long-term by recruiting key habitat structures (e.g., 489 large trees and snags) and reducing direct habitat loss to extensive stand-replacing fire that can 490 be detrimental to owl populations (Tempel et al. 2015; Jones et al. 2016; Jones 2019).

491 Pyrosilviculture's significant pace and scale increase may be beyond current procedural 492 constraints that can limit mechanical treatments, but changes in prescribed fire planning may 493 allow much wider use. Some National Forests, including several in the Sierra Nevada, are 494 developing Burn Plans for the entire National Forest that would allow large-scale use of 495 prescribed fire and ease regulatory hurdles. Thinning projects often go through 3-5 years of 496 development and review before any treatment occurs, and most are limited in spatial extent to a 497 maximum of several hundred to a couple thousand acres. In contrast, a National Forest wide 498 burn plan would allow 10,000 to 15,000 and possibly up to 50,000 acres annually of prescribed 499 fire to achieve forest restoration objectives. Coupled with natural ignitions that may provide 500 opportunities to manage wildfires for resource objectives, prescribed fire and managed wildfire 501 could dramatically increase the speed of forest restoration efforts.

502 It is difficult to predict exactly what stand structures are best adapted to future climate 503 conditions, and managers should not assume that fuels reduction will increase tree resilience to 504 increasingly severe and frequent droughts (Steel et al. 2021). However, a benefit of 505 pyrosilviculture is its reintroduction of a key process that may give forests more flexibility to 506 adapt to changing climatic and disturbance conditions. Fire has been a strong historical influence 507 on dry western forests and its repeated application under current fuel and climate conditions is 508 likely to build great adaptability into ecosystems than traditional thinning treatments focused on 509 producing a target stand density and diameter distribution. Additionally, studies in forests with 510 restored fire regimes suggest improvements for many ecosystem services including water 511 production (Boisramé et al. 2018), stabilization of large carbon stores (Hurteau and North 2009,

Hurteau et al. 2016), increases in microclimate diversity (Norris et al. 2012), and provision of
sensitive species habitat.

514 Increases in prescribed fire and managed wildfire can help with a large backlog of 515 maintaining fuels reduced conditions in existing treatments (North et al. 2012). In productive 516 forests, fuels quickly accumulate and forests with fuels left untreated for longer than two 517 historical fire return intervals generally have a higher likelihood of crown fire. For many dry, 518 low to mid-elevation western forest types, this means re-treating the forest every 10-35 years or 519 needing to treat about 3-10% of these fire-dependent western U.S. forests each year. In practice, 520 to even make a dent in this annual maintenance acreage, a significant increase in the use of 521 prescribed fire and managed wildfire is needed.

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#### 523 Limitations and Opportunities

524 New research is needed in many areas on how to best apply pyrosilviculture. However, 525 in one area, the most significant impediments to prescribed fire, recent studies have shown the 526 main limitations are reduced work force capacity and a lack of funding, together with varying degrees of local leadership and institutional support for fire use (Schultz et al. 2019a, 2019b, 527 528 Schultz and Mosley 2019). A key window for fire use in the western US is the late summer to 529 early fall (August through October) when burns may best meet ecological objectives for fire-530 adapted forest types. However, increasingly large late summer wildfires, combined with 531 droughty fall conditions, have extended fire season length in recent years (Jain et al 2013, 532 Holden et al 2018), making it difficult to acquire crews, many of which have been sent to 533 wildfires or are held in preparation for being deployed. Two changes might help with these 534 problems. Agencies could dedicate some crews to just work on prescribed burns and managed 535 wildfire, and could train and share work forces across agencies and jurisdictions through a 536 western US prescribed fire center (Miller and Aplet 2016). An interagency center could pool 537 resources and be more nimble deploying crews to follow optimal burn conditions, moving to 538 areas and applying fire as fuel moistures and weather conditions align to enable fire use to meet 539 resource objectives. Increasing drought conditions may enable more burning in winter or early 540 spring, requiring year-round prescribed fire personnel to take advantage of these periods.

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542 Drawing from the example of the Prescribed Fire Training Center in Florida, the western 543 center could provide the critical training and experience-based education required to grow fire 544 use workforce capacity and skills across the region. Such a center could also coordinate, 545 allocate, and deploy equipment and crews similar to how federal and state wildland fire agencies 546 work together through Geographic Area Coordination Centers (GACC). A western prescribed 547 fire center could specifically train crews in applying fire for ecological benefit rather than a focus 548 on suppression, while providing leadership and institutional support for broader managed fire 549 use. Presently many fire managers come up through the ranks from suppression crews and have 550 varying degrees of ecological and forestry-related training. While agency silviculturists are 551 required to complete an intensive education program and certification process in order to 552 approve proposed treatments and prescriptions, burn planning and implementation is handled by 553 fuel specialists and fire management officers whose training programs understandably have more 554 of an operational and safety emphasis (Schultz et al. 2019b). Broadening prescribed fire training 555 to include more emphasis on ecology and forestry-related curriculum, and create greater 556 commonality between these programs may help bridge the organizational divide between fire 557 and silviculture in some federal land agency locations (Schultz et al. 2018).

558 While forest wide burn plans may help increase the future pace and scale of prescribed 559 fire, current practices are not scaled to achieve the acreage or density reduction proposed with 560 pyrosilviculture. Prescribed burns are often implemented at the stand level, resulting in an 561 arrangement much like jigsaw puzzle pieces across the landscape over time. Implementation at 562 this scale is often completed on a local project level and this approach generally includes 563 daytime firing operations at a constrained scale. The scale is often defined by daily containment 564 lines to manage the number of acres burned, stay within smoke allowances, and reduce the need 565 for extended resources. A recent analysis of prescribed fire windows in the Lake Tahoe Basin 566 (Striplin et al. 2020) found that there were few 2-3 day burn windows during the preferred 567 burning season (August through October) and longer burn windows were very rare. Landscape-568 scale prescribed burning will require more fluid management where daytime and nighttime 569 operations are continuous.

A more practical approach for working with prescribed fire might follow practices
sometimes used in Yosemite National Park. Using localized weather and smoke dispersal
forecasts, Yosemite has used a push/pull approach to burning where the fire is pushed into low

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573 fuels areas (i.e., anchors, previous burns, granite outcrops, etc.) during adverse weather and 574 smoke conditions, and then pulled out across the landscape needing treatment during more 575 optimal conditions. This means having more open-ended burn windows, keeping the fire 576 contained and smoldering until conditions align for extensive consumption and lofting smoke 577 away from populated areas. This would require a change in permitting procedures. Striplin et al. 578 (2020) found that a 2008 change in California Air Resources Board procedures was associated 579 with an increase in burn window length during the twenty year period they studied. Working to 580 adjust these procedures so that they are congruent with scientific understanding of fire would 581 have ecological benefits while supporting the public's need to know about potential smoke 582 before it reaches populated areas.

583

#### 584 Conclusion

585 Given all the limitations on using fire, is pyrosilviculture really practical? Under current 586 constraints it is difficult to imagine how beneficial fire use could be significantly increased, 587 particularly in densely populated areas (i.e., much of California) and states with highly restrictive 588 air quality regulations (i.e., Washington and Oregon). However, if fire is inevitable and likely to 589 increase with changing climate, any practical future management scenario has to include a 590 paradigm shift toward greater proactive human influence on the fire that does occur (Young et al. 591 2020b). This shift would have widespread benefits including better predictability and dispersal 592 control of smoke (Long et al. 2018), less structure loss and human casualties, and enhanced 593 ecosystem services (i.e., water quantity and quality [Boisramé et al. 2018], sensitive species 594 habitat [Jones et al. 2016], and secure carbon storage, [Earles et al. 2014, Stephens et al. 2019, 595 2020b]). Incorporating pyrosilviculture's wider use of managed fire is a practical recognition of 596 the inevitability of fire continuing to be the largest influence on dry western forests.

597 While it is unlikely that society will ever fully restore historical fire regimes in western 598 U.S. forests, pyrosilviculture can help realign current and historical fire regimes, and improve 599 landscape resilience in a rapidly changing environment. Pyne (2020) noted "Because it is a 600 reaction, fire synthesizes its surroundings: it takes its character from its context." Facilitated by 601 revenue-generating, strategic thinning treatments, fire's responsiveness to context may accelerate 602 adaptation of fire-restored forests to future climate conditions. The real issue is whether we

- 603 continue to focus on suppression, propagating more 'feral' fire or become the agents of more
- 604 beneficial fire under our terms and objectives.

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1264	Sierra Nevada. Canadian Journal of Forest Research: doi.org/10.1139/cjfr-2020-0337.

1265 Table 1: Comparison of stand and landscape scale attributes of pyrosilviculture.

1266

Table 2: Acreage of dominant forest types<sup>a</sup>, mean fire return interval (MFRI)<sup>b</sup>, and estimate of
the historical (pre-European) burn levels for the nine US National Forests and Lake Tahoe Basin
Management Unit in the Sierra Nevada. Forest types are grouped by historical fire patterns as
either a frequent, low to moderate severity fire regime (MFRI<50 years) generally requiring</li>
active management (i.e., fuels reduction), or as an infrequent, high-severity fire regime

- 1272 (MFRI>80 years), generally being passively management.
- 1273

1274 Table 3: Total acres and acres by severity class for wildfire activity from 2011-2020 for the nine

1275 National Forests and Lake Tahoe Basin Management Unit in the Sierra Nevada. Acres of fuel

1276 reduction treatments burned are calculating from the intersection of wildfires with treatment

1277 areas from the FACTs database.

1278

1279 Table 4: Average annual acreage of F.S. treatments by type tallied by unique footprint<sup>1</sup> and

1280 accomplishment<sup>2</sup> size, mean and median treatment size, and median distance between treatment

1281 units within a project<sup>3</sup> for the nine National Forests and Lake Tahoe Basin Management Unit in

1282 the Sierra Nevada between 2011-2020.

1283

#### Figure Legends

Figure 1: Distribution of the twelve most common forest types and wildfires (2011-2020) for the
nine National Forests and Lake Tahoe Basin Management Unit. Inset shows three principle

- 1286 treatment types and their locations within the 2020 Castle Fire perimeter.
- 1287

1288 Figure 2: Left panel (a) shows the area available for mechanical treatment (green shading) within 1289 the Sierra National Forest after identifying and removing areas of nonproductive forest land, 1290 those with legal (i.e., wilderness, etc.), topographic (too steep, too distant from a road) and 1291 administrative (i.e., spotted owl, riparian, etc.) constraints (following North et al. 2015a). The 1292 right panel (b) shows areas that have been designated for wildfire restoration (yellow) and 1293 maintenance (blue) in the Sierra NF's new forest plan. In these areas, which generally match the 1294 nonmechanical grey area in the left panel, natural ignitions will be primarily managed to 1295 maintain or restore more resilient forest conditions.

1296

1297 Figure 3: Schematic of how anchors, ecosystem assets, and revenue thinnings might be placed in 1298 a landscape. Providing a boundary 'box', anchors back to roads or the WUI and are ignition 1299 locations for expanding prescribed fire between anchors. Managers have the option of letting 1300 prescribed fire continue up through or managed wildfire burn down through the upper string of 1301 anchors under favorable conditions. Ecosystem assets are located where fuels reduction is 1302 needed to maintain particular ecological values, and revenue thinnings are in locations where 1303 larger shade-tolerant, fire-sensitive species can be removed to restore resilience and provide 1304 sawlog revenue.

1305

Figure 4: Stand-level schematics of the three thinning treatments: a) an anchor, showing near the road, the backstop (heavy fuels reduction leaving only large, spacely separated pines) grading into a more mixed-species forest with a fire resistant spatial pattern (i.e., individual trees, clumps of trees and openings [ICO]) where the fire leaves the anchor; b) an ecosystem asset where most thinned trees are ladder fuel size, an ICO pattern is created, and pine litter is dispersed in openings to facilitate fire spread; and c) a revenue thinning where intermediate and larger firesensitive fir are removed for sawlog processing.

1313

Figure 5: An example of coupled mechanical thinning and mastication treatments with fire in
southern forests that most effectively meets ecological, silvicultural, and wildlfire hazard
reduction objectives.

1317

1318 Figure 6: Examples of the three metrics suggested for assessing ecologically beneficial fire; a)

1319 managed wildfire reducing stand density, killing some overstory trees, and leaving gaps for

1320 regeneration; b) spatial heterogeneity with Individual trees, Clumps of Trees and Openings (i.e.,

an ICO pattern); and c) forest composition where hardwoods and fir have survived in the

1322 shallow, wetter drainage in the background, while large pines, possibly individuals with thick

1323 bark, persist in the foreground despite extensive fire scarring. All photos were taken in fire-

1324 restored Yosemite National Park forests.

1325

1326 Figure 7: a) Female spotted owl with a nestling owl in a burned snag on the Eldorado NF. Fire

1327 created the nesting habitat by burning a small forest patch at high-severity, but nearby b)

1328 destroyed owl habitat in a fuel-loaded forest when burning created extensive high-severity areas.

1329 (Photo credits Sheila Whitmore)

1330







Figure 4 revised









Pyrosilviculture					
Attributes:	Stand*	Landscape			
Definition	<ul> <li>Use fire to directly meet management objectives</li> <li>Alter silvicultural treatments to better incorporate future prescribed fire</li> </ul>	• Coordinate and consolidate mechanical, prescribed burn, and managed wildfire treatments to reduce fuels and tree density to moderate large-scale stressors.			
Objectives	<ul> <li>Create conditions (structures and species compositions) such that future prescribed fires can more feasibly be applied</li> <li>Apply prescribed fire as the preferred tool for reducing surface fuels</li> <li>Sustain fuel conditions, so that a higher proportion of wildfires burn with predominantly low-moderate severity in treated stands</li> </ul>	<ul> <li>Treat large forested areas where the beneficial effects of prescribed fire, managed wildfire, and mechanical treatments are synergistic</li> <li>Fire occurs on a scale such that its function as a crucial ecosystem process is restored</li> <li>Limit high-severity wildfire extent such that type conversion is minimized.</li> </ul>			
Operational Means	<ul> <li>Increase near- and long-term opportunities for future fire use by adjusting planting and thinning prescriptions</li> <li>Apply prescribed fires at stand scales (&lt;125 ac)</li> <li>Prescribed fire schedules are designed around specific management objectives</li> </ul>	<ul> <li>Leverage low and moderate severity areas in wildfires as initial 'treatments'</li> <li>Identify managed wildfire zones</li> <li>Implement anchor, ecosystem asset, and revenue treatments</li> <li>Expand fire objectives to include density reduction, heterogeneity and species/phenotypic selection</li> </ul>			
Measures	<ul> <li>Fuel load monitoring</li> <li>Wildfire behavior modeling</li> <li>Fire effects that are identified as enhancing objectives (e.g. minimizing crown damage)</li> </ul>	<ul> <li>General objectives<sup>1</sup> derived from Natural Range of Variation (NRV)<sup>2</sup> for:</li> <li>Forest conditions—tree density, structure, composition and spatial pattern.</li> <li>Fire behavior—percentage and patch size of high-severity fire</li> </ul>			
Limitations	<ul> <li>Risk, resource, and regulatory barriers around fire use</li> <li>Outcomes are variable compared to non-fire treatments,</li> <li>Perception of fire's incompatibility with timber objectives</li> </ul>	<ul> <li>Crew and equipment availability for large operations</li> <li>Increased days of smoke production</li> <li>Potential liability</li> <li>Institutional caution</li> </ul>			
Opportunities	• Use traditional tools, such as leaf area index and relative density index to manage stand structure	• Treat landscapes while providing habitat for sensitive species			

Table 1: Comparison of stand and landscape scale attributes of pyrosilviculture.

• Small burns can be done during short opportunity windows, which may occur during winter droughts	• Develop a network of thinned anchors and ecosystem assets for increasing fire-use opportunities
or cool summer nights	• Dynamically work with fire,
• Hedge bets against variable	'pushing' it into low fuel areas
environmental conditions by	during adverse conditions and
having multiple stand types ready	pulling it across the landscape
to burn on any given day	under optimal weather and
	smoke dispersal settings

\* York et al. (In pressB)

<sup>1</sup> Given changing climate and disturbance conditions, NRV is used for general guidelines, not for strict numerical targets.
 <sup>2</sup> Many western forests have literature summaries of NRV (i.e., Keane et al. 2009, Safford and Steven 2017, Meyer and North 2019)

Table 2: Acreage of dominant forest types<sup>a</sup>, mean fire return interval (MFRI)<sup>b</sup>, and estimate of the historical (pre-European) burn levels for the nine US National Forests and Lake Tahoe Basin Management Unit in the Sierra Nevada. Forest types are grouped by historical fire patterns as either a frequent, low to moderate severity fire regime (MFRI<50 years) generally requiring active management (i.e., fuels reduction), or as an infrequent, high-severity fire regime (MFRI>80 years), generally being passively management.

Total FS Acreage 13,015,888				
	Area	MEDI	Avg Burned	
Forest Type (FT):	(ac)	WII'KI	(ac/yr)	
Mixed Conifer	3,052,375	14	218,027	
Eastside Pine	1,102,164	6	183,694	
Red Fir	755,787	40	18,895	
Montane Hardwood	630,241	11	57,295	
Ponderosa Pine	469,630	5	93,926	
White Fir	452,755	25	18,110	
Hardwood/Conifer	307,891	14	21,992	
Lodgepole Pine	226,415	37	6,119	
Douglas-Fir	87,125	24	3,630	
Total: Frequent, low- to mod-severity fire regime	7,084,383		621,688	
Sub Alpine	408,466	132	3,094	
Pinyon/Juniper	364,181	150	2,428	
Western Juniper	277,939	83	3,349	
Total: Infrequent, high-severity fire regime	1,050,586		8,871	
Total: All forest types	8,134,969		630,559	

<sup>a</sup> Forest types with >70,000 ac

<sup>b</sup> Based on Safford and van de Water (2014), and the Fire Effects Information System

(https://www.feis-crs.org/feis/)

Table 3: Total acres and acres by severity class for wildfire activity from 2011-2020 for the nine National Forests and Lake Tahoe Basin Management Unit in the Sierra Nevada. Acres of fuel reduction treatments burned are calculating from the intersection of wildfires with treatment areas (including managed wildfire) from the FACTs database.

Year:	Total Fire	Unburned	Low-	Moderate-	High-	Treated acres
	Ac	Ac (%)	Severity	Severity Ac	Severity	intersected by
			Ac (%)	(%)	Ac (%)	wildfire
2011	35,765*	NA	NA	NA	NA	1,622
2012	132,033	18,311	49,695	36,139	27,888	2,506
		(13.9%)	(37.6%)	(27.4%)	(21.1%)	
2013	237,497	35,038	80,889	72,085	49,485	11,293
		(14.8%)	(34.1%)	(30.4%)	(20.8%)	
2014	189,505	16,281	53,185	51,983	68,056	15,139
		(8.6%)	(28.1%)	(27.4%)	(35.9%)	
2015	162,574	40,329	52,877	42,172	27,196	3,900
		(24.8%)	(32.5%)	(25.9%)	(16.7%)	
2016	82,086	13,467	22,529	20,840	25,250	15,136
		(16.4%)	(27.4%)	(25.4%)	(30.8%)	
2017	186,232	37,565	94,824	37,071	16,772	25,350
		(20.2%)	(50.9%)	(19.9%)	(9.0%)	
2018	244,654	46,900	108,292	61,520	27,942	11,711
		(19.2%)	(44.3%)	(25.1%)	(11.4%)	
2019	99,112*	NA	NA	NA	NA	10,977
2020	902,991*	NA	NA	NA	NA	104,804
Avg/yr	227,245	29,699^	66,042^	45,973^	34,656^	20,244+
		(16.8%)	(36.4%)	(25.9%)	(20.9%)	

NA: Severity levels were not available for 2011, 2019 and 2020.

\* Totals in 2011 and 2019 are from CalFire's FRAP dataset, which for 2012-2018 were within 2% of MTBS totals for each year. The total for 2020 is from National Interagency Fire Center (NIFC 2020) data.

<sup>^</sup>Average acres by severity class are for 2012-2018 only.

<sup>+</sup> Average treated acres intersected by wildfire are calculated for 2017-2020 only.

Table 4: Average annual acreage of F.S. treatments by type tallied by unique footprint<sup>1</sup> and accomplishment<sup>2</sup> size, mean and median treatment size, and median distance between treatment units within a project<sup>3</sup> for the nine National Forests and Lake Tahoe Basin Management Unit in the Sierra Nevada between 2011-2020.

Treatment Type:	Unique	Total	Mean size in	Median	Median distance
	Footprint <sup>1</sup>	Accomplished <sup>2</sup>	acres	size	(ft) between
	(acres)	(acres)	(range)	(acres)	treatments within a
					project <sup>3</sup>
Mechanical	21 211	50 374	36	12	
(Mech)	21,211	50,574	(0.1-5,249)	15	1673
Prescribed Burn	11.961	22.214	40	12	4023
(Rx)	11,001	22,214	(0.1-1,298)	15	
Managed	18 010	20.129	2,877	205	
Wildfire (Man)	18,919	20,138	(0.8-82,230)	293	
Mech & Rx	10,861	$(23,200^4)$			
Rx & Man	58				
Mech & Man	341				
Mech/Rx/Man	105				
Total:	63,357	92,726 <sup>5</sup>			

<sup>1</sup> Stacked treatment polygons are condensed into one footprint

<sup>2</sup> Total treatment acreage tallied regardless of overlap

- <sup>3</sup> Treatments within a project are identified by having the same NEPA project number, name or decision id (total of 687 projects). This analysis excluded records for which NEPA decision statuses were "CE no DM," "Default or Not Required," and "NEPA Pending." Distance is calculated between treatment centroids.
- <sup>4</sup> Overlapping acres of treatment (i.e., the same area was thinned and then burned).
- <sup>5</sup> Note that even after subtracting the 23,200 overlapping acres, the total remaining accomplishment acreage (69,526) is larger than the footprint acres (63,357) because repeat treatments sometimes extend beyond the first treatment's area. This method of summing every unique pair of treatment efforts, also explains why the Mech & Rx acreage is larger than the prescribed burn acreage.